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436 Seventh Avenue
Pittsburgh, PA 15219P 412.471.8815
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webblaw@webblaw.com

JAN 14 2010

January 14, 2010

VIA FACSIMILE NO.: 1-571-273-8300

Page 1 of 25

UNITED STATES PATENT AND TRADEMARK OFFICE
OFFICE OF PATENT PUBLICATION

Re: United States Patent No. 7,576,263, issued August 18, 2009
Serial No. 10/540,956
entitled "Novel Gene OSISAP1 of Rice Confers
Tolerance to Stresses and a Method Thereof"
Docket No.: 4544-051956 **THIRD REQUEST**

To Whom It May Concern:

Attached you will find copies of our correspondence attempting to obtain a Certificate of Correction that contains the name of the second Assignee in this case as well as corrects a minor printing error. We originally sent our FIRST request via the regular channels by electronic filing on November 23, 2009. We paid ALL of the fees required and submitted all of the documentation necessary to obtain the Certificate of Correction. Our request was *Denied* stating that we should have included the \$130.00 Fee. You will see on the PTO Acknowledgement that a fee in the amount of \$230.00 (\$100.00 for the Certificate of Correction and \$130.00 for the Petition as set forth in 37 C.F.R. §1.17(h)) was paid with our initial request.

A call was placed to the Office of Data Management on December 15, 2009. Nothing was rectified during this telephone conversation. We attempted a SECOND time to obtain a Certificate of Correction and sent copies of all of the documents from our first request. Our Second Request was sent via regular mail on December 16, 2009.

On December 29, 2009, a Certificate of Correction issued which corrected only the printing error. Since we have paid all of the fees required to add the second Assignee and submitted all of the documentation required, we are requesting again that a Certificate of Correction be issued which contains both of the items needing correction, i.e., the listing of the second Assignee and the correction of the printing error. Kindly reconsider this matter and issue a complete Certificate of Correction at your earliest convenience.

A new form PTO/SB/44 is attached for your convenience.

Very truly yours,

A handwritten signature in black ink, appearing to read "J. Matthew Pritchard, IV".

J. Matthew Pritchard, IV
Registration No. 46,228

JMP:mjs
Attachments

PTO/SB/44 (07-07)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
(Also Form PTO-1050)**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,576,263
APPLICATION NO. : 10/540,956
ISSUE DATE : August 18, 2009
INVENTORS : Tyagi et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- (1) Face of the Patent, See Item (73) Assignee: the second assignee is missing.
Item (73) should read:
— University of Delhi, New Delhi (IN) and
Department of Biotechnology, New Delhi (IN) —
- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS,
Column 2, the second to last entry to Mohanty et al., "high frequency" should read
—high frequency—

MAILING ADDRESS OF SENDER: The Webb Law Firm
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-2450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-2450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select Option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,576,263 B2
APPLICATION NO. : 10/540956
DATED : August 18, 2009
INVENTOR(S) : Tyagi et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS, Column 2, the second to last entry to Mohanty et al., "high frequency" should read --high frequency--

COPY

DOES NOT
CONTAIN
THE NAME
OF THE
SECOND
ASSIGNEE



Signed and Sealed this

Twenty-ninth Day of December, 2009

David J. Kappas

David J. Kappas
Director of the United States Patent and Trademark Office

The dating stamp of the Patent Office on this card will be taken as an indication that the accompanying paper was filed.

Inventors: Tyagi et al.

Patent No. 7,576,263

Paper dated: December 16, 2009

Atty's File No. 4544-051956

Amount of Checks N/A (Previously
Paid fees of \$100.00 \$130.00)

WHL:mjs

20K7779.DOC

In re Patent entitled:
"Gene Osisapi of Rice Confers
Tolerance to Stresses and a
Method Thereof"

SECOND Petition for Suspension
Of Rules (37 C.F.R. 1.183) (In Dup.)
Copy of Denial
Copies of complete electronic filing
Including proof of errors
and proof of electronic payment
by credit card.

COPY

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JAN 14 2010

Patent No. 7,576,263
Petition for Suspension of Rules (37 C.F.R. § 1.183.)
Paper Dated: December 16, 2009
Attorney Docket No. 4544-051956

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119
Inventors : Tyagi et al.
Issued : August 18, 2009
Title : Gene Osisapi of Rice Confers Tolerance
to Stresses and a Method Thereof
Examiner : Vinod Kumar
Customer No. : 28289

COPY**SECOND****PETITION FOR SUSPENSION OF RULES (37 C.F.R. § 1.183)**

MAIL STOP PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are submitting this Second request by regular mail since the electronically filed version was denied for reasons unbeknown to us. The Denial indicates that our Request to correct the Assignee should include three things: the fee of \$130.00; a statement indicating that the error was inadvertent; and a copy of the Notice of Recordation of Assignment Document. All three of those things were included with our submission of November 23, 2009. A copy of the Denial is enclosed with this submission, as well as copies of all of the documents originally filed electronically on November 23, 2009. You will notice that both fees have already been paid by credit card.

NOTE: We attempted to rectify this situation by calling both telephone numbers shown on the Denial and received a recording indicating that both numbers are "Out of Service".

Patentees hereby petition for the waiving of the requirements of 37 C.F.R. § 3.81 so that a Certificate of Correction may be granted under 37 C.F.R. § 1.323. Due to a good faith and inadvertent error made by Applicants in Item 3 of the Issue Fee Transmittal (Form PTOL-85B), the name of the second assignee was omitted.

I hereby certify that this correspondence is being filed via regular United States mail to: MAIL STOP PETITIONS, Commissioner for Patents, Alexandria, VA 22313-1450 on December 16, 2009.

Mary Jo Sinicropo

Mary Jo Sinicropo 12/16/2009

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JAN 14 2010

Patent No. 7,576,263
Petition for Suspension of Rules (37 C.F.R. § 1.183.)
Paper Dated: December 16, 2009
Attorney Docket No. 4544-051956

Face of the Patent, Item (73) should read:

- University of Delhi, New Delhi (IN) and
Department of Biotechnology, New Delhi (IN) -

(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame: 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)

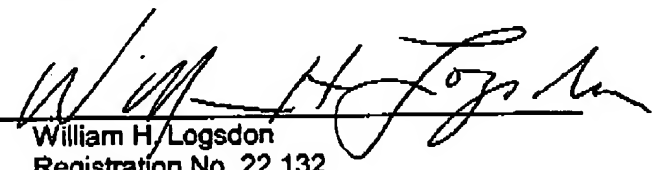
Patentees request that the file be forwarded to the Certificate of Correction Branch for the issuance of a Certificate of Correction. A Request for Certificate of Correction, Form PTO/SB/44, and proof of errors are attached. The requisite fee in the amount of \$100.00 has been paid by credit card with the initial Request filed electronically on November 23, 2009.

The required petition fee in the amount of \$130.00 as set forth in 37 C.F.R. §1.17(h) has been paid by credit card with the initial Request filed electronically on November 23, 2009. The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 23-0650.

Respectfully submitted,

THE WEBB LAW FIRM

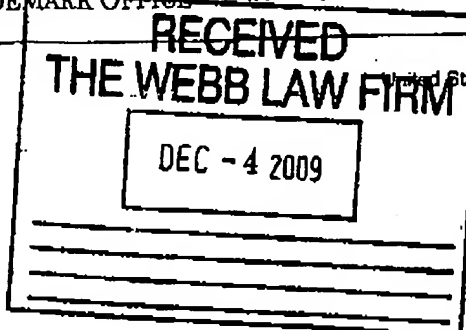
By


William H. Logsdon
Registration No. 22,132
Attorney for Registrants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219
Telephone: (412) 471-8815
Facsimile: (412) 471-4094



UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 14 2010



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Date: 12/01/09

Patent No. : 7576263 B2
Ser. No. : 10/540,956
Inventor(s) : TYAGI, KUMAR AKHILESH
Issued : 08/18/09
Title : NOVEL GENE OSISAPI OF RICE CONFERS TOLERANCE TO
STRESSES AND A METHOD THEREOF
Docket No. : 4544-051956

COPY

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing *incorrect or erroneous* assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of the date the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

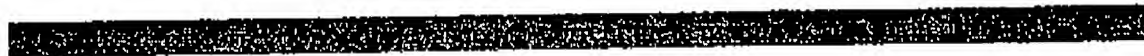
By mail: Mail Stop PETITIONS

Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

By hand: Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

By fax: (571) 273-0025
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.



Lamonte M. Newsome
Lamonte M. Newsome

For Mary Diggs, Supervisor
Decisions & Certificates
Of Correction Branch

(703) 305-8309 or (703) 308-9390 #112

not in service

THE WEBB LAW FIRM, P.C.
700 KOPPERS BUILDING
436 SEVENTH AVENUE
PITTSBURGH PA 15219

LMN

JAN-14-2010 10:26

412 471 4094

JAN 14 2010

412 471 4094

P.09

Electronic Acknowledgement Receipt

EFS ID:	6508520
Application Number:	10540956
International Application Number:	
Confirmation Number:	5119
Title of Invention:	COPY NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF
First Named Inventor/Applicant Name:	Kumar Akhilesh Tyagi
Customer Number:	28289
Filer:	William H. Logsdon/Mary Jo Sintcrope
Filer Authorized By:	William H. Logsdon
Attorney Docket Number:	4544-051956
Receipt Date:	23-NOV-2009
Filing Date:	13-MAR-2006
Time Stamp:	16:21:53
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$230
RAM confirmation Number	3281
Deposit Account	
Authorized User	

File Listing:

Doc 900 209 4369

1	Miscellaneous Incoming Letter	051956petreassign.pdf	55506 e3d675419752b4072b3373c5d4293648 e9b8	no	2
Warnings:					
Information:					
2	Request for Certificate of Correction	051956ccc.pdf	47502 780679506304064064071633c25a3d1c5d 3e2	no	1
Warnings:					
Information:					
3	Miscellaneous Incoming Letter	051956coc.pdf	36318 8b6c57528dca354008f3da36b7458bda7 d780d	no	1
Warnings:					
Information:					
4	Miscellaneous Incoming Letter	051956prf1.pdf	194363 e6337301937b361831c379b48ca4602b07d 4312e	no	6
Warnings:					
Information:					
5	Miscellaneous Incoming Letter	051956prf2.pdf	89938 9e6b77d5773d615d1e43c2b01e8a8a4b0 773d3	no	2
Warnings:					
Information:					
6	Fee Worksheet (PTO-875)	fee-info.pdf	32227 f573a9f08bda2ba4e9a784cd70b083f0d8 d09	no	2
Warnings:					
Information:					
Total Files Size (ln bytes):				455854	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Acknowledgement ReceiptThe USPTO has received your submission at **16:21:53** Eastern Time on **23-NOV-2009**.

230 fee paid by e-Filer via RAM with Confirmation Number: 3281.

Filed Application Information

FS ID 6508520
Application Number 10540956
Confirmation Number 5119
Title NOVEL GENE OSISAP1 OF RICE
CONFERS TOLERANCE TO STRESSES
AND A METHOD THEREOF
First Named Inventor Kumar Akhilesh Tyagi
Customer Number or
Correspondence Address 28289
Filed By William H. Logsdon/Mary Jo
Sinicrope
Attorney Docket Number 4544-051956
Filing Date 13-MAR-2006
Receipt Date 23-NOV-2009
Application Type U.S. National Stage under 35 USC
371

COPY**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
151956petreassign.pdf	2	Miscellaneous Incoming Letter	55506 bytes	◆ PASS
151956rcc.pdf	1	Request for Certificate of Correction	47502 bytes	◆ PASS
151956coc.pdf	1	Miscellaneous Incoming Letter	36318 bytes	◆ PASS
151956prf1.pdf	6	Miscellaneous Incoming Letter	194363 bytes	◆ PASS
151956prf2.pdf	2	Miscellaneous Incoming Letter	89938 bytes	◆ PASS
ee-info.pdf	2	Fee Worksheet (PTO-875)	32227 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

If a new international application is being filed and the International application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

If you need help:

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.

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JAN 14 2010

Patent No. 7,576,263
Petition for Suspension of Rules (37 C.F.R. § 1.183.)
Paper Dated: November 23, 2009
Attorney Docket No. 4544-051956

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119
Inventors : Tyagi et al.
Issued : August 18, 2009
Title : Gene Osisapi of Rice Confers Tolerance
to Stresses and a Method Thereof
Examiner : Vinod Kumar
Customer No. : 28289

COPY**PETITION FOR SUSPENSION OF RULES (37 C.F.R. § 1.183)**

MAIL STOP PETITIONS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Patentees hereby petition for the waiving of the requirements of 37 C.F.R. § 3.81 so that a Certificate of Correction may be granted under 37 C.F.R. § 1.323. Due to a good faith and inadvertent error made by Applicants in Item 3 of the Issue Fee Transmittal (Form PTOL-85B), the name of the second assignee was omitted.

Face of the Patent, Item (73) should read:


– University of Delhi, New Delhi (IN) and
Department of Biotechnology, New Delhi (IN) –

(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame: 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)

Patentees request that the file be forwarded to the Certificate of Correction Branch for the issuance of a Certificate of Correction. A Request for Certificate of Correction, Form PTO/SB/44, and proof of errors are attached. The requisite fee in the amount of \$100.00 is being paid by credit card, the information for which is submitted concurrently herewith.

I hereby certify that this correspondence is being
electronically filed with: MAIL STOP PETITIONS, Commissioner for
Patents, Alexandria, VA 22313-1450 on November 23, 2009.

Mary Jo Sinicropo


Signature11/23/2009
Date

Patent No. 7,576,263
Petition for Suspension of Rules (37 C.F.R. § 1.183.)
Paper Dated: November 23, 2009
Attorney Docket No. 4544-051956


RECEIVED
CENTRAL FAX CENTER

JAN 14 2010

The required petition fee in the amount of \$130.00 as set forth in 37 C.F.R. §1.17(h) is being paid by credit card. The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 23-0650.

Respectfully submitted,

THE WEBB LAW FIRM

By 
William H. Logsdon
Registration No. 22,132
Attorney for Registrants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219
Telephone: (412) 471-8815
Facsimile: (412) 471-4094

Patent No. 7,576,263
Request for Cert. of Correction dated November 23, 2009
Attorney Docket No. 4544-051956

JAN 14 2010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119
Inventors : Tyagi et al.
Issued : August 18, 2009
Title : Gene Osisapi of Rice Confers Tolerance
to Stresses and a Method Thereof
Examiner : Vinod Kumar
Customer No. : 28289

COPYREQUEST FOR CERTIFICATE OF CORRECTION OF PATENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction
Branch of the Patent Issue Division

Sir:

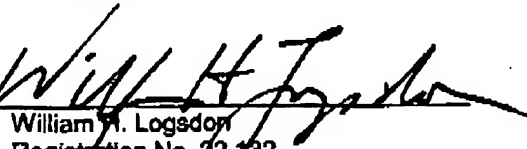
In accordance with 35 U.S.C. §§254 and 255, we attach hereto Form PTO/SB/44 and a copy of proof of errors and request that a Certificate of Correction be issued in the above-identified patent. The following errors appear in the patent as printed:

- (1) Face of the Patent, See Item (73) Assignee: the second assignee is missing. Item (73) should read:
— University of Delhi, New Delhi (IN) and
Department of Biotechnology, New Delhi (IN) —
(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)
- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS, Column 2, the second to last entry to Mohanty et al., "high frequency" should read —high frequency—
(See form SB/08a, which was considered by the Examiner on 04/17/2009, entry No. 6 to Mohanty et al.)

Error number (1) is an obvious error of omission made by Applicants. The \$100.00 fee for correction of Applicants' mistake is being paid by credit card, the information for which is submitted concurrently herewith. The remaining error is a printing error.

Respectfully submitted,
THE WEBB LAW FIRM

By


William H. Logsdon
Registration No. 72,132
Attorney for Registrants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219
Telephone: (412) 471-8815
Facsimile: (412) 471-4094

I certify that this correspondence is being electronically submitted to the United States Patent and Trademark Office on November 23, 2009.

Mary Jo Sinkovics
(Name of person submitting paper)

11/23/2009
Date


Signature

PTO/SB/44 (07-07)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
(Also Form PTO-1050)UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,576,263
APPLICATION NO. : 10/540,956
ISSUE DATE : August 18, 2009
INVENTORS : Tyagi et al.

COPY

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Item (73) should read:
— University of Delhi, New Delhi (IN) and
Department of Biotechnology, New Delhi (IN) —
- (2) Face of the Patent. See Item (56) References Cited, OTHER PUBLICATIONS,
Column 2, the second to last entry to Mohanty et al., "high frequency" should read
—high frequency—

MAILING ADDRESS OF SENDER: The Webb Law Firm
700 Koppers Building
438 Seventh Avenue
Pittsburgh, PA 15219

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-2450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select Option 2.

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JAN 14 2010

UNITED STATES PATENT AND TRADEMARK OFFICEUNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 14, 2006

PTAS

500084662A***500084662A***THE WEBB LAW FIRM
436 SEVENTH AVENUE
700 KOPPERS BUILDING
PITTSBURGH, PA 15219-1845**COPY**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
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ASSIGNOR:

TYAGI, KUMAR AKHILESH

DOC DATE: 03/06/2006

ASSIGNOR:

ARNAB, MUKHOPADHYAY

DOC DATE: 03/06/2006

ASSIGNOR:

VIJ, SHUBHA

DOC DATE: 03/06/2006


017300/0374 PAGE 2

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SERIAL NUMBER: 10540956

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A
METHOD THEREOF

ANTIONE ROYALL, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

10/540,956 NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES 07-06-
AND A METHOD THEREOF 2009::16:24:22

Patent Assignment Abstract of Title**Total Assignments: 1**

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Inventors: Kumar Akhilesh Tyagi, Mukhopadhyay Arnab, Shubha Vij

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Assignors: TYAGI, KUMAR AKHILESH

Exec Dt: 03/06/2006

ARNAB, MUKHOPADHYAY

Exec Dt: 03/06/2006

VIJ, SHUBHA

Exec Dt: 03/06/2006

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COPYASSIGNMENT

WHEREAS, we, KUMAR AKHILESH TYAGI, MUKHOPADHYAY ARNAB and SHUBHA VIJ, all residing in New Delhi, India, have co-invented, certain new and useful improvements in A NOVEL GENE OSISAPI OF RICE CONFERENCE TOLERANCE TO STRESSES AND A METHOD THEREOF, for which an application was received in the United States Patent and Trademark Office on June 29, 2005, bearing Serial No. 10/540,956, as initiation of the national phase of PCT/TN2003/000397, filed December 23, 2003;

AND, WHEREAS, UNIVERSITY OF DELHI and DEPARTMENT OF BIOTECHNOLOGY, both corporations of India, having places of business at Department of Plant Molecular Biology, University of Delhi South Campus, Benito Juaz 2 Road, New Delhi 110 021, India; and Ministry of Science & Technology, Block 2, 1st Floor, CGO Complex, Lodi Road, New Delhi 110 003, India; respectively, hereinafter called the "assignees", are desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to us by said assignees, the receipt of which is hereby acknowledged, we do hereby assign, sell, transfer, and set over unto said assignees the entire right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, said assignees to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

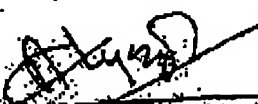
The Commissioner for Patents is requested to issue such Letters Patent in accordance herewith. We covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree that we will communicate to said assignees or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignees or by counsel for said assignees, to assist or enable said assignees to obtain and

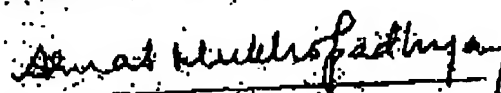
[140253370.1]

enforce full benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, successors, administrators, and/or assigns, and shall inure to the benefit of the heirs, successors, administrators, successors, and/or assigns, as the case may be, of said assignor.

Dated 6/1/06


Kumar Atulish Tiagi

Dated 6/3/06


Anant Kulkarni

Dated 06/03/06


Shobha Vij

10/540,956 NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF 07-06-2009::16:24:05

Bibliographic Data

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Examiner Name:	KUMAR, VINOD	Location:	ELECTRONIC
Group Art Unit:	1638	Location Date:	-
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Attorney Docket Number:	4544-051956	Earliest Publication Date:	09-28-2006
Class / Subclass:	800/289	Patent Number:	-
First Named Inventor:	Kumar Akhilesh Tyagi , New Delhi, (IN)	Issue Date of Patent:	-

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Title of Invention: NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF

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APPLICATION NO/ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10540956	3/13/2006	TYAGI ET AL.	4544-051956

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EXAMINER

VINOD KUMAR

ART UNIT	PAPER
1638	20090417

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Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

IDS filed 3/20/09 is entered.

Any inquiry concerning this or earlier communications from the Examiner should be directed to Vinod Kumar, whose telephone number is 571-272-4445. The Examiner can normally be reached from 8:00 A.M. to 5:30 P.M. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Anne Marie Grunberg, can be reached at 571-272-0975. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Vinod Kumar/
Examiner, Art Unit 1638

PTO-90C (Rev.04-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10540956
Filing Date	2006-03-13
First Named Inventor	Kumar Tyagi et al.
Art Unit	1638
Examiner Name	Vinod Kumar
Attorney Docket Number	4544-051956

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NKJ	1	SANGWAN et al., "Cold-activation of Brassica napus BN115 promoter is mediated by structural changes in membranes and cytoskeleton, and requires Ca ²⁺ influx", (2001), Vol 27(1), pp. 1-12, The Plant Journal.	<input type="checkbox"/>
NKJ	2	Örvar et al., "Early steps in cold sensing by plant cells: the role of actin cytoskeleton and membrane fluidity", (2000), Vol. 23(6), pp. 785-794, The Plant Journal.	<input type="checkbox"/>
NKJ	3	LOGEMANN et al., "Improved method for the isolation of RNA from plant tissues", (1987), Vol. 163, pp. 16-20, Analytical Biochemistry.	<input type="checkbox"/>
NKJ	4	LESCOT et al., "PlantCARE, a database of plant cis-acting regulatory elements and a portal to tools for in silico analysis of promoter sequences", (2002), Vol. 30, No. 1, pp. 325-327, Nucleic Acids Research.	<input type="checkbox"/>
NKJ	5	KIM et al., "A novel cold-inducible zinc finger protein from soybean, SCOF-1, enhances cold tolerance in transgenic plants", (2001) Vol. 25(3), pp. 247-259, The Plant Journal.	<input type="checkbox"/>
NKJ	6	MOHANTY et al., "Agrobacterium-mediated high frequency transformation of an elite indica rice variety Pusa Basmati 1 and transmission of the transgenes to R2 progeny", (1999), Vol. 147, pp. 127-137, Plant Science.	<input type="checkbox"/>
NKJ	7	JANI et al., "Expression of Cholera toxin B subunit in transgenic tomato plants", (2002), Vol. 11, pp. 447-454, Transgenic Research.	<input type="checkbox"/>

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Examiner Signature	Vinod Kumar/	Date Considered	04/17/2009
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